

STATE OF MINNESOTA
CAMPAIGN FINANCE AND PUBLIC DISCLOSURE BOARD

CONCILIATION
AGREEMENT

In the matter of the (Joyce) Peppin Volunteer Committee (#15542);

Pursuant to Minnesota Statutes, section 10A.28, subdivision 3, the Campaign Finance and Public Disclosure Board and Representative Joyce Peppin (hereinafter referred to as "the Candidate") hereby agree as follows:

1. During 2006, the (Joyce) Peppin Volunteer Committee ("the Committee") accepted \$6,725 in contributions from special sources. These sources include registered lobbyists from whom the Committee accepted \$875, political committees or political funds from which the Committee accepted \$5,500, and from large givers the Committee accepted \$350. The total amount of these contributions exceeded by \$725 the applicable limit on aggregate contributions from special sources, which for a house of representative candidate was \$6,000. The amount of \$725 in excess contributions was not returned within 60 days as required by Minnesota Statutes, section 10A.15, subdivision 3.

2. As part of the \$875 in contributions received from registered lobbyists the Committee accepted \$250 from David Cress. In correspondence dated March 20, 2007, David Lagermeier, treasurer, stated , "...the campaign acknowledges that it received a check for \$250 from David

Cress...Unfortunately, Mr. Cress did not identify himself as a registered lobbyist when he made his contribution.”

3. As part of the \$5,500 in contributions received from political committees or political funds the Committee accepted a \$250 contribution from a political committee that it mistakenly reported as being from an individual. Mr. Lagermeier stated “While the amount of the contribution was reported to the Board, it was misreported as belonging in the individual contribution category instead of the political committee/fund category...we have implemented internal controls to prevent this type of problem from happening in the future.”

4. Board records show that this is the first calendar year in which the Committee reported acceptance of contributions that exceeded the applicable aggregate contribution limit. The Committee registered with the Board on January 14, 2002.

5. The parties agree that the Committee accepted excessive contributions from special sources resulting in an inadvertent violation of Minnesota Statutes, section 10A. 27, subdivision 11, in calendar year 2006.

6. The Candidate hereby agrees to return to contributors described in paragraph 1 an amount sufficient to bring the committee in compliance with Minnesota Statutes, section 10A.27, subdivision 11. Copies of the check(s) and the accompanying letter(s) returning the excess amount must be forwarded to the Board within 30 days after the date this Conciliation Agreement is signed by the Board chair.

7. The Board imposes a civil penalty of \$475, one times the amount by which the contributions, excluding the contribution from Mr. Cress, exceeded the applicable limit, to be paid to the Board for deposit in the general fund of the state. The Board imposes no civil penalty on the Committee for the contribution from Mr. Cress because there was no registration number to identify the contribution as a special source contribution.

8. The Candidate hereby agrees to forward to the Board \$475 by check or money order payable to the STATE OF MINNESOTA within 30 days after the date this Agreement is signed by the Board chair. It is agreed by the parties that payment of the civil penalty of \$475 and this Conciliation Agreement will be a bar to any civil proceeding under Minnesota Statutes, section 10A.28, subdivisions 3 and 4.

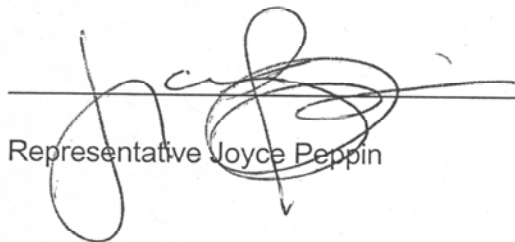
9. It is further understood and agreed, however, that if the civil penalty of \$475 is not paid within the time specified in paragraph 7 above, then the Candidate will be personally liable to pay a civil penalty, under Minnesota Statutes, sections 10A.28 and 10A.34, subdivision 1, in an amount calculated as follows:

(a) \$950 which is two times the amount by which the contributions exceeded the statutory limit, if payment is received 31 to 60 days after the date this Agreement is signed by the Board Chair;

(b) \$1,425 which is three times the amount by which the contributions exceeded the statutory limit, if payment is received 61 to 90 days after the date this Agreement is signed by the Board Chair;

(c) \$1,900 which is four times the amount by which the contributions exceeded the statutory limit, if payment is received more than 90 days after the date this Agreement is signed by the Board Chair.

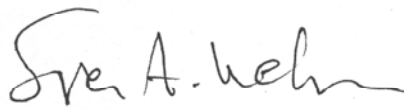
10. It is further understood and agreed that this Agreement is confidential until signed by the Candidate and the Board Chair; the signed Agreement then shall become a matter of public record, and the statutory requirement of confidentiality shall no longer apply. Minnesota Statutes, sections 10A.02, subdivision 11 and 10A.28, subdivision 3.



Representative Joyce Peppin

Dated: 5/9/07

Approved by the Campaign Finance and Public Disclosure Board

By 

Dated: 5/10/07

Sven A. Wehrwein, Vice Chair

Campaign Finance and Public Disclosure Board