

STATE OF MINNESOTA
CAMPAIGN FINANCE AND PUBLIC DISCLOSURE BOARD

CONCILIATION
AGREEMENT

In the matter of the Hornstein (Frank) Volunteer Committee (#15671);

Pursuant to Minnesota Statutes section 10A.28, subdivision 3, the Campaign Finance and Public Disclosure Board and Representative Frank Hornstein (hereinafter referred to as the Candidate) hereby agree as follows:

1. The Hornstein (Frank) Volunteer Committee (the Committee) is the principal campaign committee of Representative Frank Hornstein. During 2012, the Committee accepted \$7,135 in contributions from special sources. These sources include large givers from whom the Committee accepted \$1,710, registered lobbyists from whom the Committee accepted \$425, and political committees or political funds from which the Committee accepted \$5,000. The total amount of these contributions exceeded by \$235 the applicable limit on aggregate contributions from special sources, which for a state representative candidate was \$6,900. The \$235 in excess contributions was not returned within 60 days, and thus, is deemed accepted under Minnesota Statutes section 10A.15, subdivision 3.

2. In correspondence received on July 8, 2013, Jeremy Pierotti, treasurer, states that due to miscommunication between campaign staff one of two \$250 contributions that were received on

the same day from the same political fund was not reported. He states, "Having reviewed the sequence of special source contributions accepted by the Committee, it appears that certain contributions received in early October 2012 would have caused the campaign to exceed the limit. Had I understood that we accepted \$500 from [a political fund], we would have declined to accept one or more of these October contributions." The Committee submitted to the Board a payment of \$470 on July 22, 2013, to be applied toward an anticipated civil penalty for accepting the excessive contribution.

3. Board records show that this is the second calendar year in which the Committee reported acceptance of contributions that exceeded the applicable aggregate contribution limit. The Committee registered with the Board on April 17, 2002.

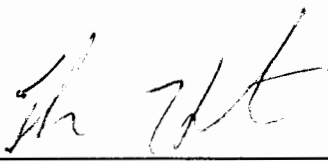
4. The parties agree that the Committee accepted excessive contributions from special sources resulting in an inadvertent violation of Minnesota Statutes section 10A.27, subdivision 11, in calendar year 2012.

5. The Committee has returned \$200 to a special source contributor described in paragraph 1 to bring the Committee into compliance. A copy of the check used to return the excess contributions was provided to the Board.

6. The Board imposes a civil penalty of \$470, two times the amount by which the contributions exceeded the applicable limit.

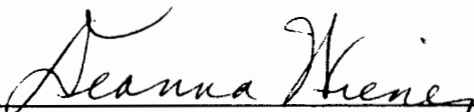
7. The Committee has submitted \$470 by check for deposit into the general fund of the state. It is agreed by the parties that the payment of the civil penalty of \$470, return of the excess contribution, and this conciliation agreement will be a bar to any civil proceeding under Minnesota Statutes section 10A.28, subdivisions 3 and 4.

8. It is further understood and agreed that this agreement is confidential until signed by the Candidate and the Board Chair. Once signed, the agreement shall become a matter of public record, and the statutory requirement of confidentiality shall no longer apply. Minnesota Statutes section 10A.02, subdivision 11, and section 10A.28, subdivision 3.


_____ Dated: August 20, 2013

Representative Frank Hornstein

Approved by the Campaign Finance and Public Disclosure Board

By  _____ Dated: August 6, 2013

Deanna Wiener, Vice Chair

Campaign Finance and Public Disclosure Board